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PATENT TRADEMARK OFFICE

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Atlas et al. Attorney Docket No. UNIV0119  
Serial No.: 09/938,119 Group Art Unit: 2661  
Filed: August 22, 2001 Examiner:  
Title: SCALABLE AND PERCEPTUALLY RANKED SIGNAL CODING AND  
DECODING

INFORMATION DISCLOSURE STATEMENT

Bellevue, Washington 98004

December 28, 2001

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**Technology Center 2600**

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Applicant is aware of the information listed in the attached form that may be material to the prosecution of the above-identified patent application.

- ☒ 1. Copies of the listed patents, publications, and other information are enclosed for the Examiner's use.
- ☐ 2. Copies of the listed patents, publications, and other information were previously cited by or submitted to the U.S. Patent and Trademark Office in prior application Serial No. \_\_\_\_\_, filed \_\_\_\_\_, and relied upon for an earlier filing date under 35 U.S.C. § 120.
- ☐ 3. A concise explanation of the relevance of document I.D. No. \_\_\_\_\_ (which is not in the English language), as presently understood by the individual designated under 37 C.F.R. § 1.56(c) most knowledgeable about its content, is provided \_\_\_\_\_.
- ☒ 4. Pursuant to 37 C.F.R. § 1.97(b), this information disclosure statement is being filed within three months of the filing date of the national application, within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, or before the mailing date of a first Office Action on the merits.
- ☐ 5. Pursuant to 37 C.F.R. § 1.97(c), this information disclosure statement is being filed after the period set forth in 37 C.F.R. § 1.97(b) but before the mailing date of either a final action under 37 C.F.R. § 1.113, or a notice of allowance under 37 C.F.R. § 1.311, and is accompanied by:
- a. \_\_\_\_\_ a certification as specified in 37 C.F.R. § 1.97(e); or
- b. \_\_\_\_\_ the fee set forth in 37 C.F.R. § 1.17(p). Check No. \_\_\_\_\_ in the amount of \_\_\_\_\_ is enclosed.

LAW OFFICES OF RONALD M. ANDERSON

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Bellevue, Washington 98004

Telephone: (425) 688-8816 Fax: (425) 646-6314

1 6. Pursuant to 37 C.F.R. § 1.97(d), this information disclosure statement is being filed  
2 after the mailing date of either:

3 a. \_\_\_\_\_ a final action under 37 C.F.R. § 1.113; or

4 b. \_\_\_\_\_ a notice of allowance under 37 C.F.R. § 1.311,

5 but before payment of the issue fee. The statement is accompanied by a  
6 certification as specified in 37 C.F.R. § 1.97(e), a petition requesting  
7 consideration of the information disclosure statement, and the petition fee set  
8 forth in 37 C.F.R. § 1.17(i)(1). Check No. \_\_\_\_\_ in the amount of  
9 \$\_\_\_\_\_ is enclosed.

10 X 7. Please charge any additional fees or credit any overpayment to Deposit Account  
11 No. 01-1940. A copy of this sheet is enclosed.

12 Respectfully submitted,

13 *Ron Anderson*

14 Ronald M. Anderson  
15 Registration No. 28,829

16 I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed  
17 envelope as first class mail with postage thereon fully prepaid addressed to: Commissioner of Patents and  
18 Trademarks, Washington, D.C. 20231, on December 28, 2001.

19 Date: December 28, 2001

20 *[Signature]*

# INFORMATION DISCLOSURE STATEMENT LISTING SHEET

## Information Cited By Applicant(s) That May Be Material To The Prosecution Of The Subject Application

Applicants: Atlas et al. Attorney Docket No. UNIV0119  
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DECODING

### U.S. PATENT DOCUMENTS

NONE CITED

### FOREIGN PATENT DOCUMENTS

NONE CITED

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### OTHER INFORMATION

<u>*Examiner Initial</u>	<u>Document No.</u>	<u>Document Information</u>
_____	O1	Thomas Jim et al. (Undated) Human Computer Interaction with Global Information Spaces -- Beyond Data Mining. <a href="mailto:infoviz@pnl.gov">infoviz@pnl.gov</a> , <a href="http://www.pnl.gov/infoviz">http://www.pnl.gov/infoviz</a>
_____	O2	Noll, Peter. September 1997. MPEG Digital Audio Coding. <i>IEEE Signal Processing Magazine</i> 59-81.
_____	O3	Tanaka, Y. and H. Kimura. 1994. Low-Bit-Rate Speech Coding Using a Two-Dimensional Transform of Residual Signals and Waveform Interpolation. <i>IEEE I-173-I-176</i> .
_____	O4	Brandenburg, Karlheinz. and Gerhard Stoll. October 1994. ISO-MPEG-1 Audio: A Generic Standard for Coding of High-Quality Digital Audio. <i>J Audio Eng. Soc.</i> 780-792.

\_\_\_\_\_  
Examiner's Signature

\_\_\_\_\_  
Date

\*Examiner: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

RMA:ssa